

1. Information About The Business Or I	Legal Entity	
	(Fill out only applicable sections) Dat	re
2. Applicant		
Full legal name of legal entity:		
Trading name(s) (if different from legal name)	:	
Permanent Address P.O Boxes are Prohibited		Country
Previous Address		. Country
Mailing/Registered address if different from p	hysical address (include zip code if applicable)	Country
Telephone Number	Facsimile Number	Business Tax ID
Website Address	Email Address	of Entity
Entity Registration Number	Date entity was registered	Number of Employees
Name of primary bank account for Entity	Branch Transit Location	Account Number
No ☐ Yes, enter the company name hereIs this entity in a control position of any public☐ No ☐ Yes, enter the company names here	companies?	
Provide a brief description of your business or	legal entity:	
Provide a brief description of your products &	or services .	·
Country(ies) where Customers are Located		
Country(ies) where Suppliers are Located		
Country(ies) where Operations are Located	\$	\$
Year of Incorporation (Corporations only): Estimated Net Assets of Entity	Shareholder's Equity :	Annual Gross Sales or Income from all sources
\$ A. Net Liquid Assets (Cash and Securities less liabilities due under 1 yr)	B. Net Fixed Assets (Fixed less liabilities of over 1 yr.)	due \$ = Total Net Assets (A + B)
	INVESTMENT PRODUCTS & SERVICE	ES
Unit Trust Equities Bor	nds Mutual Funds Other:	
Wealth Account No.	Expected Activity (12mths) urrency Additions (\$)) Source of ongoing funding
		

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Scotia Investments.

Business/Legal Entity Client Application

Sole Proprietorship Partnership General or Corporation Not for Profit Corporation/Incorporated Chalassociation/Club (Unincorporated) AND if CEstate Written Trust Foundation Other: 3. Third Party Determination Will this account be used to conduct business on behalassociation and the section of "Associated In this section, we are asking to identify the section of t	harity nalf of someone or ad Party". ount(s) you wish natify your willings nancial markets we see in my portfolio ation, for example still the s	another LEGAL ENT In to open with us ness and ability to o hich resulted in a de o 5% - %10 mple 10% - 25% 25% and over open with us	assume risk cline in the short	term (i.e. within	
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		Time Horizo	on when you expe	ect to need the fu	inds for that purpose
	ou Need An track to the contract of the contra	Less Than 2 Yrs	2-5Yrs	5-10Yrs	Greater Than 10 Yrs
iquidity Management	Yes 🗌 No				
Any Other Special Durpose Specify:	Yes No				

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☐ Owner/Shareholder & Ownership % ☐ Signing Officer ☐ Director ☐ Officer ☐			
Personal Name	Occupation		
Home Address (P.O boxes are prohibited)	. Country of Residence		
Previous Addresses In The Last 3 Years (Cayman M	utual Fund Clients Only)	Country	
Date of Birth.	Country of Birth	Country of Citizenship	
Name of Employer	Current Occupation	Employer's Address	
Nature of Business	How Long	Tax Payer ID	
Iome Telephone Number	Cellular Telephone Number	Business Telephone Number	
rimary ID Type	ID Number Place / Country Of ID Issuance		
ersonal ID Type	ID Number	Place / Country Of ID Issuance	
cotiabank Personal Customer			
No Yes, only 1 primary piece of ID is require	d, provide Scotiabank location:		
nsider Information: Are you as an authorized perso	on or your spouse, a deemed insider of any	public companies?	
\square No $\ \square$ Yes, enter the company names here $\ _$			
		oup in a control position of any public companies?	
」No ☐ Yes, enter company name(s) here			
are you as an authorized person or your spouse, a D	irector, Employee, Partner or Officer of a Me	mber of any stock exchange or of the stock exchange its	
No Yes,enter company name(s) here	200		
children-including step and/or adopted children ha the executive, legislative, administrative, military of party represented in the legislature, an Ambassado	alf-sister/brother, spouse/common-law pa r judiciary branch of the local or a foreign g	neir) immediate family members (parents, siblings, rtner and/or in-laws), a current or former senior officia overnment or the Leader of a local or a foreign politica	
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Scotia Investments.

Business/Legal Entity Client Application

PEP = POLITICALLY EXPOSED PERSONS: Are you or any of the account holders, or your (or their) immediate family members (parents, siblings, children-including step and/or adopted children half-sister/brother, spouse/common-law partner and/or in-laws), a current or former senior official in the executive, legislative, administrative, military or judiciary branch of the local or a foreign government or the Leader of a local or a foreign political party represented in the legislature, an Ambassador or Attaché, or a member of a ruling royal families or a senior executive of an enterprise owned or controlled by the local or a foreign government or Head of an international organization established by government of states, or the Head of an institution of any such organization or do you maintain a close association with any such official, either for personal or business reasons?

Owner/Shareholder & Ownership %_	Signing Officer 🗌	Director 🗌 Officer 🔲 PEP 🔲 Contact		
Personal Name	Occupation			
Home Address P.O boxes are prohibited		Country of Residence		
Previous Addresses In The Last 3 Years (Cayman M	utual Fund Clients Only)	Country		
Date of Birth.	Country of Birth	Country of Citizenship		
Name of Employer	Current Occupation	Employer's Address		
Nature of Business	How Long	Tax Payer ID		
Home Telephone Number	Cellular Telephone Number	Business Telephone Number		
Primary ID Type	ID Number	Place / Country Of ID Issuance		
Personal ID Type Scotiabank Personal Customer	ID Number Place / Country Of ID Issuance			
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Insider Information: Are you as an authorized person No Yes, enter the company names here		ic companies?		
Control Position: Are you as an authorized person		n a control position of any public companies?		
		a control position of any pastic companies.		
No Yes, enter company name(s) here	irector, Employee, Partner or Officer of a Member			
Are you as an authorized person or your spouse, a D No Yes, enter company name(s) here PEP = POLITICALLY EXPOSED PERSONS: Are you o children-including step and/or adopted children ha the executive, legislative, administrative, military or party represented in the legislature, an Ambassado controlled by the local or a foreign government or h	pirector, Employee, Partner or Officer of a Member or any of the account holders, or your (or their) in alf-sister/brother, spouse/common-law partner or judiciary branch of the local or a foreign govern or or Attaché, or a member of a ruling royal famil Head of an international organization established	nmediate family members (parents, siblings, and/or in-laws), a current or former senior official in ment or the Leader of a local or a foreign political ies or a senior executive of an enterprise owned or d by government of states, or the Head of an		
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December, 2020

Scotia investments.		Busines	s/Legal Entity	Client Application
Insider Information: Are you as an authorized person or your spo		insider of any public co	ompanies?	
Control Position: Are you as an authorized person or your spous No Yes, enter company name(s) here			control position of any	public companies?
Are you as an authorized person or your spouse, a Director, Emplo			any stock exchange or	of the stock exchange itself?
☐ No ☐ Yes, enter company name(s) here	-			
PEP = POLITICALLY EXPOSED PERSONS: Are you or any of the a children-including step and/or adopted children half-sister/brot the executive, legislative, administrative, military or judiciary bra party represented in the legislature, an Ambassador or Attaché, controlled by the local or a foreign government or Head of an intinstitution of any such organization or do you maintain a close a	account holders ther, spouse/co anch of the local or a member of ternational orga association with	, or your (or their) imm mmon-law partner and or a foreign governme f a ruling royal families inization established b any such official, eithe	d/or in-laws), a curren ent or the Leader of a l or a senior executive e y government of state r for personal or busir	t or former senior official in local or a foreign political of an enterprise owned or es, or the Head of an
No Yes, please provide details:				
8. Investment Knowledge of Signing Officer Making I (if more than one complete a separate INVES			re by each INVESTN	MENT DECISION maker)
Name(s) of Signing Officer(s) making Investment Decisions: Please indicate below the Types of Investments you have had o				
How would you define your current knowledge of / experience with investments? Very Low Low Medium High Provide 1 piece of Identification: (i) One (1) primary piece being a government issued photo ID wire reach (as appropriate): Each majority owner/shareholder of the company (10% owner). All Directors All Directors All Signatories Is one or more of the majority owner/shareholder another entity. Business Registration Number & Country of Registration A Corporate family tree tracing ownership to every person of a Documentation to verify Ownership If you are applying for a Mutual Fund Account Asset Allocation Model (AMS) Information AMS Account? No Yes, proceed to select AMS option. Please assign this Asset Allocation Model (AMS) to my account. It subsequent purchases or redemptions as well.	th signature (vanership or greaty? No Yes,	How would you define with investments? Very Low Low Medium High lid passport, country II ter OR the % prescribe provide the following: oration who is a major	e your current knowl Card, driver's license ed by local law if less)	edge of / experience
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AMS Models	Class	Currency	Select Only One	
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(SBMF) Scotia Balanced Growth				
(SBMF) Scotia Growth				
(SAMJ) Conservative Income				
(SAMJ) Income and Conservative Growth				
(SAMJ) Balanced Income and Growth				
(SAMJ) Moderate Growth				
(SAM I) Aggressive Growth				1



Banking Information Bank Account Use Default Purchase Redemption Bank Code **Transit Number Bank Account Number Bank Account Name Bank Account Currency** 10. If you are requesting to provide instructions to us by Telephone, Fax And/or Electronic Communication. Please sign this section By signing here I/We confirm that: 1. I/We are requesting to provide instructions to us by Telephone/Fax/Electronic Communication and have read, understood and agreed to the Telephone/Fax/Electronic Communication Agreement in the General Terms and Conditions applicable to all accounts 2. I/We are aware of the risk involved and are willing to take those risks. Signature: Name and Title Date Signature: Name and Title Date Name and Title Signature: Date

11. What you agree to when you sign this application

We", "our", "us", "Scotiabank", and the "Bank" mean, as applicable, The Bank of Nova Scotia and its branches and subsidiaries and affiliates operating outside of Canada. "**Scotiabank Group"** means collectively, The Bank of Nova Scotia and all its subsidiaries and affiliates throughout the world.

"You" and "Your", means the individual(s), that owns this Account, or any signatory on this Account(s) Application.

"I", "me" and "my" means the applicant and any joint applicant, and includes an individual, corporation, trust, partnership or any other form of unincorporated organization.

By signing below, you and your signing authorities certify to the Bank:

- You request the services listed and confirm that the information recorded on this Application is true and complete. This includes your representations on the Investment Selector Profile, which you have also acknowledged as being accurate, and which forms part of this Personal Client Application.
- · I certify that I am of legal age (ie. 18 years and over) by signing hereunder.
- · Your investment advisor does not have a direct or indirect ownership in this account.
- Unless otherwise advised, securities purchased in the account are not insured by a government deposit insurer, are not guaranteed by the Scotiabank Group and may fluctuate in Value.
- You acknowledge receipt and agreement of an investment recommendation based on your financial goals and time horizon for the account.

Unless otherwise indicated by you, the account will be opened as non-discretionary, i.e. you have to confirm all trades / transactions before execution.

The use of leverage may not be suitable for all investors. Using borrowed money, to finance the purchase of securities involves greater risk than using cash resources only. If you use borrowed money to purchase securities, your responsibility to repay the loan, pay interest, remains the same even if the value of the purchased securities decline.

NOTE: Despite signing this Business/Legal Entity Client Application, the account(s)/services(s) requested are still subject to approval by the Bank prior to opening.



or Corporations	
e and Title	Date
e and Title	Date
e and Title	Date
e and Title	Date
Advisor	
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 Or Transfer in Value:	
How long have you known the client?	
	-
or Name	Date
over Name:	Date
over name.	Date
r	

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Scotia Investments	Business/Legal Entity Client Application Checkli
Appendix	
Sole Proprietorship	 Registration (if required in your jurisdiction) Tax Compliance Certificate (TCC) or other equivalent official confirmation from the relevant tax authorities of compliance with income tax obligations; Audited/Public Accountant Prepared/in-House Income & Expense Statement (as applicable)
☐ Partnership → ☐ General or ☐ Limited	 □ Partnership Business Registration (if required) □ Partnership Agreement (if required) □ Partnership Deed (or other instrument in writing which is duly signed by the Partners and which confirms the fact of the establishment of thePartnership □ Tax Compliance Certificate (TCC) of other equivalent official confirmation from the relevant tax authorities of compliance with income tax obligations □ Audited/Public Accountant Prepared/in-House Income & Expense Statement (as applicable)
Corporation	Certificate of Incorporation (if required in your jurisdiction) Memorandum & Articles of Association/Articles of Incorporation & By Laws Annual Return/Certificate of Good Standing (entities greater than 1 year old Register of Directors, Officers & Members (if required in your jurisdiction) For companies incorporated outside of jurisdiction obtain Certificate of Continuation or Extra Territorial Registration Tax Compliance Certificate (TCC) of other equivalent official confirmation from the relevant tax authorities of compliance with income tax obligations Audited/public Accountant Prepared/in-House & Expense Statement (as applicable)
Not for Profit Corporation/Incorporated Charitable Organization AND if Charity	Registration (Charter or Statute of instrument by which it is established) Charitable Registration Audited/public Accountant Prepared/in-House & Expense Statement (as applicable)
Association/Club (Unincorporated) AND if Charity	Register of Directors, Officers Registration (Charter or Statute of instrument by which it is established) Audited/public Accountant Prepared/in-House & Expense Statement (as applicable) If governed by an umbrella body and not independently registered the following should be obtained: Letter from the governing body confirming that the entity is authorized to operate. Copy of the registration document of the governing body (eg Articles of Incorporation, Certificate of Incorporation) Document outlining the purpose and general operations
Estate	Stamped Letters of Probate / Letter of Administration/ Notarized Copy of Will (where applicable)
Written Trust	☐ Certified True Copy of Written Trust ☐ Register of Trustees and Beneficiaries
Foundation	Certified True Copy of Foundation Charter Register of Directors/Trustees of Foundation Registration(Incorporation, or charter or Statute or instrument by which it is established

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