

Scotiabank® Tax Residency Self-Certification for INDIVIDUALS

<i>Information provided on this form will be used to comply with tax-related regulatory requirements. Scotiabank is required to collect the information on this form to be able to open or maintain a financial account for you. Failure to complete and return this form may result in your accounts being reportable to the relevant tax authorities.</i>			Rev. 02/2023
<i>If you represent a business other than a sole proprietorship or an oral/informal trust, please fill out and submit a Tax Residency Self-Certification for Entities form.</i>			
A. Account Holder Identification			
Name of individual (<i>first, middle, and last name</i>)			Birth date (<i>mm/dd/yy</i>)
Permanent residence address (<i>number and street; apartment or suite number</i>). Do not use P.O. box or in-care address			
City	Province/State	Postal or ZIP code	Country
Mailing address (<i>only if different from the permanent residence address</i>)			
City	Province/State	Postal or ZIP code	Country
Are you a tax resident of (<i>Insert local Country</i>)?			
☐ No			
☐ Yes.			
B. Account Holder Tax Residency – United States			
Are you a U.S. tax resident or U.S. citizen*?			
☐ No.			
☐ Yes. Provide your U.S. Social Security Number (SSN): _____			
Note: Including dual citizens.			
C. Account Holder Tax Residency – Other Foreign Countries			
Are you a tax resident of a jurisdiction other than (<i>Insert local Country</i>) or the United States?			
☐ No. Continue in section D			
☐ Yes. Please confirm the information below			
Provide the country/countries and Taxpayer Identification Number(s) (TIN) below. If you cannot provide your TIN today, indicate the reason with the appropriate No TIN Reason Code, choosing from the list below.			
Country	TIN	No TIN Reason Code	
_____	_____	_____	
_____	_____	_____	
_____	_____	_____	

No TIN Reason Codes

Code	No TIN Reason
A	I have applied or will apply for a TIN but have not yet received it. I will provide it when I receive it.
B	The country of tax jurisdiction does not issue TINs
C	Other reason

If you selected **No TIN Reason Code C**, please provide an explanation of why you do not have a TIN for the jurisdiction(s):

D. Citizenship by Investment or Residency by Investment

If the jurisdiction(s) of tax residency you listed above in Section C is one of the following countries, please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu. For more information

1. Did you obtain residence rights under a CBI or RBI scheme?

- ☐ No.
☐ Yes.

2. Do you hold residence rights in any other jurisdiction(s)?

- ☐ No.
☐ Yes. Provide Country and Tax Identification Number _____

3. Have you spent more than 90 days in any other jurisdiction(s) during the previous calendar year?

- ☐ No.
☐ Yes. Provide Country and Tax Identification Number _____

4. In which jurisdiction(s) have you filed personal income tax returns during the previous calendar year? If applicable, please provide the tax identification number(s), or TIN(s).

E. Certification and Undertaking

- I hereby certify that the information provided on this form is correct and complete.
- I authorize Scotiabank to provide, directly or indirectly, to any relevant tax authorities or any party authorized to audit or conduct a similar review of Scotiabank for tax purposes, the information contained in this form and/or a copy of this form and to disclose to such tax authorities or such party any additional information that Scotiabank may have in its possession that is relevant to my qualification claimed on the basis of this certification.
- I acknowledge that information contained in this form and information regarding my Scotiabank accounts (including information on account balances and payments received) may be reported to local tax authorities, and that those tax authorities may provide the information to any additional country I have listed above as being a country in which I am a resident for tax purposes.
- I undertake to advise Scotiabank immediately of any change in circumstances that causes the information contained herein to become incorrect and to provide Scotiabank with an updated Tax Residency Self-Certification form within 30 days of such change in circumstances.
- I understand that it is my obligation to provide Scotiabank my TIN(s) at the time it is requested. I understand that my failure to provide my TIN(s) may result in my incurring regulatory fines, either directly or indirectly.

Signature	Print name of signatory
Date (mm/dd/yy)	Capacity in which signatory is acting (if signatory is not the account holder)

Definitions and Codes

Note: You can find more complete definitions and codes on the websites maintained by:

- the IRS <<https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca>> (for information on FATCA);
- the OECD <www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/#d.en.347760> (for information on CRS);
- the OECD Residence/Citizenship by investment schemes <<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/residence-citizenship-by-investment/>> and
- the local tax authorities in each participating jurisdiction.